

FACTS

WHAT DOES PAULSON INVESTMENT COMPANY, LLC DO WITH YOUR PERSONAL INFORMATION?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Assets and investment experience
- Account balance and transaction history

When you are *no longer* our customer, we continue to share your information as described in this notice.

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Paulson Investment Company, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Paulson Investment Company, LLC share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For affiliates to market to you	No	We don't share
For non-affiliates to market to you	No	We don't share

Questions? Call 855-653-3444

Who we are

Who is providing this notice?

Paulson Investment Company, LLC

What we do

How does Paulson Investment Company, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. **You should know that Paulson Investment Company will not sell your personal information.**

How does Paulson Investment Company, LLC collect my personal information?

We collect your personal information, for example, when you

- Open an account or deposit money
- Pay for your securities transactions or request money be sent to you.
- Enter into an investment advisory contract
- We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- We may share information about you with Paulson affiliates

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Paulson does not share with non-affiliates to market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Paulson Investment Company, LLC does not allow this activity.

Other important information

For California residents, we will limit the sharing of your non-public information to the extent required by California law.